



**Form for the submission of information on ultimate beneficial owners
in accordance with the Register of Ultimate Beneficial Owners
Regulations 2017
Form UBO1 - Corporate or Legal Entity**

This Form must be completed and filed -

- (a) for corporate or legal entities incorporated before the commencement of the Ultimate Beneficial Owners Regulations 2017;
- (b) for corporate or legal entities incorporated after the commencement of the Ultimate Beneficial Owners Regulations 2017: within 30 days of incorporation; or
- (c) in any event, within 30 days of a material change affecting any matter contained in the information or after it becomes apparent that the information contains a significant inaccuracy.

Only use this Form to tell us about the people with ultimate beneficial ownership (UBO) of the corporate or legal entity. This includes Individuals, Relevant Legal Entities and Other Registrable Persons.

Only complete this Form if; this is the first time you have given this information, there has been a change to any of the information since you last gave it or an error in the information previously provided requires correction.

DO NOT use this form for information regarding trusts, arrangements similar to trusts and foundations (use form UBO2- Express trusts, legal arrangements similar to trusts and foundations)

This form is divided into the following parts-

- Part A – Details of the corporate or legal entity disclosing its ultimate beneficial owners.
- Part B – Details of the UBOs in relation to the corporate or legal entity described in Part A-
 - B.1 & 2 - Details of an **INDIVIDUAL** who is an ultimate beneficial owner.
 - B.3 – Details of a **RELEVANT LEGAL ENTITY** that is an ultimate beneficial owner.
 - B.4 – Details of an **OTHER REGISTRABLE PERSON** who is an ultimate beneficial owner.
- Part C – This part provides for information regarding the senior managing officials where no UBO's fall within Part B.
- Part D – Statements where there have been difficulties in identifying the UBO
- Part E - This part is to be completed by the person submitting this form.
- Part F is a checklist for your convenience.

IMPORTANT NOTE: If you are NOT submitting an electronic return, please print this form (you may need to print some sections more than once) and after completing submit to:

Registrar of Beneficial Owners,
Finance Centre Department, Suite 761 Europort, Gibraltar

PART A - DETAILS OF CORPORATE OR LEGAL ENTITY DISCLOSING ITS ULTIMATE BENEFICIAL OWNERS

A.1 Name of corporate or legal entity in Full

A.2 Corporate or legal entity Incorporation Certificate Number

A.3 Address of registered office or principal address of the corporate or legal entity

A.4 Name & address of company management service provider (if applicable)

PART B - DETAILS OF THE ULTIMATE BENEFICIAL OWNER

Sections B.1 and B.2 are to be completed where an **individual** is the ultimate beneficial owner of the corporate or legal entity

B1.1 Individual's details

Title* [optional field]													
Full forename(s)													
Surname													
Country/State of residence**													
Nationality													
Month/year of birth	<table border="1"> <tr> <td>X</td><td>X</td><td></td><td></td><td>m</td><td>m</td><td></td><td></td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	X	X			m	m			y	y	y	y
X	X			m	m			y	y	y	y		

*Completion of this field is optional

**In respect of UBO's usual residential address under section B2.2.

B1.2 Individual's service address (if different to the details provided in A.3)

Building name/number													
Street													
City/town													
County/Region													
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>												
Country/State													

B1.3 Individual's registration date as an ultimate beneficial owner

Date that this person became registrable (this cannot be before 26 June 2017)											
d	d			m	m			y	y	y	y

B1.4 Date individual ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable											
d	d			m	m			y	y	y	y

NOTE: THE INFORMATION IN B2.1. AND B2.2. WILL NOT BE DISCLOSED

TO "PERSONS WITH A LEGITIMATE INTEREST"

B2.1 Individual's details continued

Previous name(s) / alias*	[Optional]								
Gender*	[Optional]								
Place of birth*	[Optional]								
Occupation*	[Optional]								
Date of birth	<table border="1"> <tr> <td>d</td> <td>d</td> <td>m</td> <td>m</td> <td>y</td> <td>y</td> <td>y</td> <td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

*Completion of this field is optional

B2.2 Individual's usual residential address

Building name/number											
Street											
City/town											
County/Region											
Postcode	<table border="1"> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>										
Country/State											

B2.3 Individual's application for a regulation 31 exemption

<p><input type="checkbox"/> Only tick the box if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his usual residential address under regulation 31 of the Register of Ultimate Beneficial Owners Regulations 2017.</p> <p>Where the individual is applying for a regulation 31 exemption with this form, the application and this form must be posted together.</p>	<p>*NB: If the individual is currently in the process of applying for, or has been granted, a regulation 31 exemption, you may wish to check you have not entered the individual's usual residential address in section B1.2. "UBO - Individual's service address" as this may be made available to the public.</p>
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B2.4 Details of **individual's** ownership / control of corporate or legal entity (only complete if applicable)**Ownership of shares**

1. The individual holds, directly or indirectly, the following percentage of shares in the corporate or legal entity (NOTE: it must be **MORE THAN 25%**):

%

Voting rights

2. The individual holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (NOTE: it must be **MORE THAN 25%**):

%

Right to appoint/remove directors

3. The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

(please tick)

Only complete the section below if none of the above apply

4. The individual has the right to exercise, or actually exercises control over the corporate or legal entity

(please tick)

B3.1

Section B.3 is to be completed where a **Relevant Legal Entity** is the ultimate beneficial owner of the corporate or legal entity (only complete if applicable)

Name of Relevant Legal Entity																					
Registered office:																					
Building name/number																					
Street																					
City/town																					
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																				
Country/State																					

B3.2

Relevant Legal Entity : legal form and governing law

Legal form (i.e. company)	
Governing law	
Name of market where shares admitted to trading	
Country / State	
Registration number	

B3.3

Relevant Legal Entity's registration date as an ultimate beneficial owner

Date that this relevant legal entity became registrable (this cannot be before 26 June 2017)											
d	d	m	m	y	y	y	y				

B3.4

Date Relevant Legal Entity ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable											
d	d	m	m	y	y	y	y				

B3.5 Details of Relevant Legal Entity's ownership or control of corporate or legal entity**Nature of Control****Ownership of shares**

1. The relevant legal entity holds, directly or indirectly, the following percentage of shares in the corporate or legal entity:

%

Voting rights

2. The relevant legal entity holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity:

%

Right to appoint/remove directors

3 The relevant legal entity holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

(please tick)

Only complete the section below if none of the above apply

4 The relevant legal entity has the right to exercise, or actually exercises control over the corporate or legal entity

(please tick)

Section B.4 is to be completed where an **Other Registrable Person** is the ultimate beneficial owner of the corporate or legal entity (only complete if applicable)

B4.1 Other Registrable Person : details

Name of Other Registrable Person	
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B4.2 UBO - Other Registrable Person : principal office address

Building name/number																					
Street																					
City/town																					
County/region																					
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																				
Country/State																					

B4.3 Other Registrable Person : legal form and governing law

Legal form	
Governing law	

B4.4 Other Registrable Person's registration date as an ultimate beneficial owner

Date that this registrable person became registrable (this cannot be before 26 June 2017)												
d	d	m	m	y	y	y	y					

B4.5 Date Other Registrable Person ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable												
d	d	m	m	y	y	y	y					

B4.6

Details of Other Registrable Person's ownership or control of corporate or legal entity

Ownership of shares

1. The Other Registrable Person holds, directly or indirectly, the following percentage of shares in the corporate or legal entity:

 %**Voting rights**

2. The Other Registrable Person holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

 %**Right to appoint/remove directors**

3 The Other Registrable Person holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

 (please tick)

Only complete the section below if none of the above apply

4 The Other Registrable Person has the right to exercise, or actually exercises, significant influence or control over the corporate or legal entity

 (please tick)

PART C**DISCLOSURE OF SENIOR MANAGING OFFICIALS WHERE NO ULTIMATE BENEFICIAL OWNER FALLS WITHIN SECTION B2, B3 OR B4**

C.1 This section must be completed if, after having exhausted all possible means and provided there are no grounds for suspicion, no ultimate beneficial owner is disclosed under section B2, B3 or B4, or if there is any doubt that the person(s) identified are the beneficial owner(s).

C.2 In this section the corporate or legal entity must disclose the person or persons who hold the position of senior managing official(s). Where the senior managing official(s) are also corporate or legal entities the presenter must look through the corporate structures and include the details of **natural persons** who are senior managing officials.

Details of senior managing official

Full forenames	
Surname	
Date of birth	
Description of position	

Senior managing official's registration date as an ultimate beneficial owner

Date that person became registrable (this cannot be before 26 June 2017)							
d	d	m	m	y	y	y	y

Date senior managing official ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

[Please supply details for each senior managing official to whom this Part applies]

C.3 Only complete this section if natural persons included in C.2 are not the senior managing officials of the corporate or legal entity described in Part A.

3.1 Name of corporate or legal entity of whom natural persons are senior managing officials

3.2 Relationship of corporate or legal entity (referred to in 3.1 above) to corporate or legal entity described in Part A.

PART D STATEMENTS

This section applies where the corporate or legal entity has not been able to comply with the disclosure requirements contained in part B or C or where it is incomplete with respect to one or more ultimate beneficial owner.

Tick each relevant statement																		
1	<input type="checkbox"/> The corporate or legal entity has identified a UBO in relation to the company but all the required particulars of that UBO have not been confirmed.	Date: <table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y								
d	d	m	m	y	y	y	y											
2	<input type="checkbox"/> The corporate or legal entity has given a notice under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 which has not been complied with.	Date: <table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y								
d	d	m	m	y	y	y	y											
3	<input type="checkbox"/> The person named below has failed to comply with a notice given by the corporate or legal entity under regulation 13 of the Ultimate Beneficial Owners Regulations 2017: <table border="1"> <tr> <td>Full forename(s)</td> <td></td> </tr> <tr> <td>Surname</td> <td></td> </tr> </table>	Full forename(s)		Surname		Date: <table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y				
Full forename(s)																		
Surname																		
d	d	m	m	y	y	y	y											
4	<input type="checkbox"/> The corporate or legal entity has issued a restrictions notice under paragraph 1 of Schedule 1 of the Ultimate Beneficial Owners Regulations 2017.	<input type="checkbox"/> The corporate or legal entity has withdrawn the restrictions notice by giving a withdrawal notice on: <table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table> <input type="checkbox"/> The court has made an order under paragraph 8 of Schedule 1 of the Ultimate Beneficial Owners Regulations 2017 directing that a relevant interest in the company ceases to be subject to restrictions. The court order takes effect on: <table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y											
d	d	m	m	y	y	y	y											

PART E - SIGNATURE, DECLARATION & PRESENTER DETAILS**E.1** Signature and declaration

I, the presenter, am signing this form on behalf of the corporate or legal entity named in Part A and declare that the particulars in Parts A, B, C and D are correct to the best of my information knowledge and belief.

Signature:
Date:

E.2 Presenter Information

Name of presenter	
Company Name (where applicable)	
Address	
Telephone	
e-mail*	

* an electronic receipt will be sent to this e-mail address

PART F - CHECKLIST

<input type="checkbox"/> Name & registration number
<input type="checkbox"/> Ensure name & registration number match those at Companies House
<input type="checkbox"/> You have completed the date the individual, Relevant Legal Entity or Other Registrable Person became registrable
<input type="checkbox"/> You have completed the individual's, Relevant Legal Entity or Other Registrable Person's details
<input type="checkbox"/> Addresses must be a physical location
<input type="checkbox"/> You have shown the nature of ownership or control of the corporate or legal entity
<input type="checkbox"/> You have signed the form