



UBO Register

HM Government of Gibraltar

Form for the submission of information on Ultimate Beneficial Owners in accordance with the Register of Ultimate Beneficial Owners Regulations 2017

Form UBO1 - Corporate or Legal Entity

This Form must be completed and filed -

- (a) for corporate or legal entities created before the commencement of the Ultimate Beneficial Owners Regulations 2017: by 26 June 2017;
- (b) for corporate or legal entities or created after the commencement of the Ultimate Beneficial Owners Regulations 2017: within 30 days of incorporation; or
- (c) in any event, within 30 days of a material change affecting any matter contained in the information or after it becomes apparent that the information contains a significant inaccuracy.

Only use this Form to tell us about the people with ultimate beneficial ownership (UBO) of the corporate or legal entity. This includes individuals, relevant legal entities and other registrable persons.

Only complete this Form if; this is the first time you have given this information, if there has been a change to any of the information since you last gave it or if an error in the information provided requires correction.

- Part A – Details of the Corporate or Legal Entity disclosing its UBOs.
 - Part B – Details of the UBOs in relation to the Corporate or Legal Entity described in Part A.
 - B1.1 - B5 for an **individual** who is an ultimate beneficial owner.
 - B6 - B9 for a **relevant legal entity** who is an ultimate beneficial owner.
 - B10 - B13 for an “**other registrable person**” who is an ultimate beneficial owner.
 - C1 - C3 for any statement in relation to your UBO register or relating to an individual, relevant legal entity or other registrable person that are in your UBO register.
 - D1 - D2 for verification purposes.
 - E is a checklist for your convenience.
 - If you need to tell us more or if there is more than 1 UBO please use a duplicate page.
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Please return this form to:

Registrar of Beneficial Owners,
Finance Centre Department,
Suite 761 Europort, Gibraltar

Part A - Details of Corporate or Legal Entity

A1. Name of Corporate or Legal Entity in Full

A2. Corporate or Legal Entity Incorporation Certificate Number

A3. Address of Corporate or Legal Entity

A4. Name & Address of Company Manager (if applicable)

Part B - Details of Ultimate Beneficial Owners

B1.1. UBO - Individual's details

Title																					
Full forename(s)																					
Surname																					
State of residence*																					
Country																					
Nationality																					
Month/year of birth	<table border="1"> <tr> <td>X</td> <td>X</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td>m</td> <td>m</td> <td>y</td> <td>y</td> <td>y</td> <td>y</td> <td></td> <td></td> </tr> </table>	X	X											m	m	y	y	y	y		
X	X																				
		m	m	y	y	y	y														

[*in respect of UBO's usual residential address under section B2.2.]

B1.2. UBO - Individual's service address

Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td> </tr> </table>										
Country											

B1.3. UBO - Individual's Registration Date

Date that this person became registrable (this cannot be before 26 June 2017)							
d	d	m	m	y	y	y	y

B1.4. UBO - Date Individual Ceased Being a UBO

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

THIS INFORMATION IS NOT AVAILABLE TO “PERSONS WITH A LEGITIMATE INTEREST”**B2.1. UBO - Individual's details continued**

Previous name(s) / alias									
Gender									
Place of birth									
Occupation									
Date of birth	<table border="1"> <tr> <td>d</td> <td>d</td> <td>m</td> <td>m</td> <td>y</td> <td>y</td> <td>y</td> <td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

B2.2. UBO - Individual's Usual Residential Address

Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1"> <tr> <td></td> </tr> </table>										
Country											

B2.3. UBO - Individual's Application for a Regulation 31 exemption

<p>Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his usual residential address under regulation 31 of the Register of Ultimate Beneficial Owners Regulations 2017.</p> <p><input type="checkbox"/></p> <p>Where the individual is applying for a regulation 31 exemption with this form, the application and this form must be posted together.</p>	<p>*NB: If the individual is currently in the process of applying for, or has been granted, a regulation 31 exemption, you may wish to check you have not entered the individual's usual residential address in section B1.2. "UBO - Individual's service address" as this may be made available to the public.</p>
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B3. Individual UBO nature of control

How to fill in this table:

- Put a tick against the current nature of control relating to this individual entered in the UBO register.

Nature of Control
<p>Ownership of shares</p> <p>1. The individual holds, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>Ownership of voting rights</p> <p>2. The individual holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p>Ownership of right to appoint/remove directors</p> <p><input type="checkbox"/> 3 The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>

Only complete the section below if none of the above apply

<p><input type="checkbox"/> The individual has the right to exercise, or actually exercises, significant influence or control over the corporate or legal entity</p>
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B4. Nature of control by a firm over which the individual has significant control

In this section "firm" means an entity which does not have legal personality under the law by which it is governed; for example, partnerships without legal personality (limited partnerships).

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

1. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):

1a) more than 25% but not more than 50%

1b) more than 50% but less than 75%

1c) 75% or more

2. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

2a) more than 25% but not more than 50%

2b) more than 50% but less than 75%

2c) 75% or more

3 the members of that firm (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity

4 the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity

B5. Nature of control by a trust over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust, and:

<p>1. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>2. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p><input type="checkbox"/> 3 the trustees of that trust (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>
<p><input type="checkbox"/> 4 the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity</p>

B6.1. UBO - Relevant Legal Entity : Details

("Relevant Legal Entity" is defined in Schedule 3 to the Register of Ultimate Beneficial Owners Regulations 2017)

Corporate or Firm Name																					
Building name/number																					
Street																					
Post town																					
Postcode	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																				
Country																					

B6.2. UBO - Relevant Legal Entity : Legal form and governing law

Legal form of the corporate body or firm	
Governing law	
If applicable, register in which the relevant legal entity is entered	
State	
Country	
Registration number	

B6.3. UBO - Relevant Legal Entity : Registration Date

Date that this relevant legal entity became registrable (this cannot be before 26 June 2017)											
d	d	m	m	y	y	y	y				

B6.4. UBO - Date Relevant Legal Entity Ceased Being a UBO

Date (if applicable) that this person ceased to be registrable											
d	d	m	m	y	y	y	y				

B7. Relevant Legal Entity : Nature of Control

How to fill in this table:

- Put a tick against the current nature of control relating to this relevant legal entity entered in the UBO register.

Nature of Control
<p>Ownership of shares</p> <p>1. The relevant legal entity holds, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>Ownership of voting rights</p> <p>2. The relevant legal entity holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p>Ownership of right to appoint/remove directors</p> <p><input type="checkbox"/> 3 The relevant legal entity holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>

Only complete the section below if none of the above apply

<input type="checkbox"/> The relevant legal entity has the right to exercise, or actually exercises, significant influence or control over the corporate or legal entity
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B8. Nature of control by a firm over which the relevant legal entity has significant control

In this section “firm” means an entity which does not have legal personality under the law by which it is governed; for example, partnerships without legal personality (limited partnerships).

The relevant legal entity has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

1. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):

1a) more than 25% but not more than 50%

1b) more than 50% but less than 75%

1c) 75% or more

2. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

2a) more than 25% but not more than 50%

2b) more than 50% but less than 75%

2c) 75% or more

3 the members of that firm (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity

4 the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity

B9. Nature of control by a trust over which the relevant legal entity has significant control

The relevant legal entity has the right to exercise or actually exercises significant influence or control over the activities of a trust, and:

1. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):

1a) more than 25% but not more than 50%

1b) more than 50% but less than 75%

1c) 75% or more

2. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

2a) more than 25% but not more than 50%

2b) more than 50% but less than 75%

2c) 75% or more

3 the trustees of that trust (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity

4 the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity

B10. UBO - Other Registrable Person

An "other registrable person" is defined in Schedule 3 to the Register of Ultimate Beneficial Owners Regulations 2017:

- a corporation sole
- a local authority or local government body in Gibraltar or elsewhere
- a government or government department of a country or territory or part of a country or territory
- a government or government department of a country or territory or part of a country or territory
- an international organisation whose members include two or more countries or territories (or their governments)

examples: Office of public trustee; Official custodian for charities; Administrator-General for Gibraltar

B10.1. UBO - Other Registrable Person : details

Name of Other Registrable Person	
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B10.2. UBO - Other Registrable Person : Principle Office Address

Building name/number											
Street											
Post town											
County/Region											
Postcode	<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 10%;"></td> </tr> </table>										
Country											

B10.3. UBO - Other Registrable Person : Legal form and governing law

Legal form of the corporate body or firm	
Governing law	

B10.4. UBO - Other Registrable Person : Registration Date

Date that this registrable person became registrable (this cannot be before 26 June 2017)									
d	d	m	m	y	y	y	y		

B10.5. UBO - Date Other Registrable Person Ceased Being a UBO

Date (if applicable) that this person ceased to be registrable									
d	d	m	m	y	y	y	y		

B11. UBO - Other Registrable Person : Nature of Control

How to fill in this table:

- Put a tick against the current nature of control relating to this other registrable person entered in the UBO register.

Nature of Control
<p>Ownership of shares</p> <p>1. The other registrable person holds, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>Ownership of voting rights</p> <p>2. The other registrable person holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p>Ownership of right to appoint/remove directors</p> <p><input type="checkbox"/> 3 The other registrable person holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>

Only complete the section below if none of the above apply

<input type="checkbox"/> The other registrable person has the right to exercise, or actually exercises, significant influence or control over the corporate or legal entity

B12. Nature of Control by a firm over which the other registrable person has significant control

In this section “firm” means an entity which does not have legal personality under the law by which it is governed; for example, partnerships without legal personality (limited partnerships).

The other registrable person has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

<p>1. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>2. the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p><input type="checkbox"/> 3 the members of that firm (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>
<p><input type="checkbox"/> 4 the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity</p>

B13. Nature of control by a trust over which the other registrable person has significant control

The other registrable person has the right to exercise or actually exercises significant influence or control over the activities of a trust, and:

<p>1. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of share in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 1a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 1b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 1c) 75% or more</p>
<p>2. the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):</p> <p><input type="checkbox"/> 2a) more than 25% but not more than 50%</p> <p><input type="checkbox"/> 2b) more than 50% but less than 75%</p> <p><input type="checkbox"/> 2c) 75% or more</p>
<p><input type="checkbox"/> 3 the trustees of that trust (in their capacity as such) hold, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity</p>
<p><input type="checkbox"/> 4 the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the corporate or legal entity</p>

C1. Statements - Individual

If the corporation's or legal entity's UBO register contains one of the following statements please tick		If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.									
Statement:		Date:									
<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is no registrable ultimate beneficial owner in relation to the corporate or legal entity.		<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
<input type="checkbox"/> The corporate or legal entity has not yet completed taking reasonable steps to find out if there is anyone who is a registrable ultimate beneficial owner in relation to the corporate or legal entity.		<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y				
If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.											

Use this section to show any additional statements (in respect of an individual who is an UBO) that is entered in UBO register under Part B.

	<p>Only complete this section if the corporation's or legal entity's UBO Register contains any of the below statements in respect of an individual.</p> <p>Tick each relevant statement.</p>	<p>If any of the statements have been updated please give the relevant date</p>								
A	<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is a registrable person in relation to the corporate or legal entity, but it has not identified the registrable person.	<p>Date:</p> <table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
If there is only one UBO in relation to this corporate or legal entity, only complete A.										
B	<input type="checkbox"/> The corporate or legal entity has identified a registrable person in relation to the company but all the required particulars of that person have not been confirmed.	<p>Date:</p> <table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
If there is only one UBO in relation to this corporate or legal entity, only complete B.										
C	<input type="checkbox"/> The corporate or legal entity has given a notice under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 which has not been complied with.	<input type="checkbox"/> A notice given under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice. <p>The notice was complied with on:</p> <table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			

<p>D</p>	<p><input type="checkbox"/>The registrable person named below has failed to comply with a notice given by the corporate or legal entity under regulation 13 of the Ultimate Beneficial Owners Regulations 2017:</p> <table border="1" data-bbox="284 430 783 607"> <tr> <td data-bbox="284 430 475 535">Full forename(s)</td> <td data-bbox="475 430 783 535"></td> </tr> <tr> <td data-bbox="284 535 475 607">Surname</td> <td data-bbox="475 535 783 607"></td> </tr> </table>	Full forename(s)		Surname		<p>Please complete the relevant details of the individual in section B1.1 - B5.</p> <p><input type="checkbox"/>A notice given under regulation 13 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice.</p> <p>The notice was complied with on:</p> <table border="1" data-bbox="850 474 1345 555"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table> <p>Please complete the relevant details of the individual in section B1.1 - B5</p>	d	d	m	m	y	y	y	y				
Full forename(s)																		
Surname																		
d	d	m	m	y	y	y	y											
<p>E</p>	<p><input type="checkbox"/>The corporate or legal entity has issued a restrictions notice under paragraph 1 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017.</p>	<p><input type="checkbox"/>The corporate or legal entity has withdrawn the restrictions notice by giving a withdrawal notice on:</p> <table border="1" data-bbox="850 902 1345 983"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table> <p><input type="checkbox"/>The court has made an order under paragraph 8 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017 directing that a relevant interest in the company ceases to be subject to restrictions. The court order takes effect on:</p> <table border="1" data-bbox="850 1305 1345 1386"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y											
d	d	m	m	y	y	y	y											

C2. Statements - Relevant legal entity

If the corporation's or legal entity's UBO register contains one of the following statements please tick		If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.								
Statement:		Date:								
<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is no registrable ultimate beneficial owner in relation to the corporate or legal entity.	<input type="checkbox"/>	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
<input type="checkbox"/> The corporate or legal entity has not yet completed taking reasonable steps to find out if there is anyone who is a registrable ultimate beneficial owner in relation to the corporate or legal entity.	<input type="checkbox"/>	<table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.										

Use this section to show any additional statements (in respect of a relevant legal entity who is an UBO) that are entered in UBO register under Part B.

	Only complete this section if the corporation's or legal entity's UBO Register contains any of the below statements C - E in respect of a relevant legal entity. Tick each relevant statement C - E.	If any of the statements have been updated please give the relevant date								
A	<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is a registrable person in relation to the corporate or legal entity, but it has not identified the registrable person.	This ceased to apply on: <table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Do not complete statement A for a relevant legal entity										
B	<input type="checkbox"/> The corporate or legal entity has identified a registrable person in relation to the company but all the required particulars of that person have not been confirmed.	This ceased to apply on: <table border="1"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Do not complete statement B for a relevant legal entity										

<p>C</p>	<p><input type="checkbox"/>The corporate or legal entity has given a notice under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 which has not been complied with.</p>	<p><input type="checkbox"/>A notice given under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice.</p> <p>The notice was complied with on:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">y</td> </tr> </table> <p>Please complete the relevant details of the relevant legal entity in section B6- B9.</p>	d	d	m	m	y	y	y	y								
d	d	m	m	y	y	y	y											
<p>D</p>	<p><input type="checkbox"/>The relevant legal entity named below has failed to comply with a notice given by the corporate or legal entity under regulation 13 of the Ultimate Beneficial Owners Regulations 2017:</p> <table border="1" style="width: 100%; margin-top: 10px;"> <tr> <td style="width: 20%; padding: 5px;">Name</td> <td style="width: 80%;"></td> </tr> </table>	Name		<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">y</td> </tr> </table> <p><input type="checkbox"/>A notice given under regulation 13 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice.</p> <p>The notice was complied with on:</p> <p>Please complete the relevant details of the individual in section B6 - B9.</p>	d	d	m	m	y	y	y	y						
Name																		
d	d	m	m	y	y	y	y											
<p>E</p>	<p><input type="checkbox"/>The corporate or legal entity has issued a restrictions notice under paragraph 1 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017.</p>	<p><input type="checkbox"/>The corporate or legal entity has withdrawn the restrictions notice by giving a withdrawal notice on:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">y</td> </tr> </table> <p><input type="checkbox"/>The court has made an order under paragraph 8 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017 directing that a relevant interest in the company ceases to be subject to restrictions. The court order takes effect on:</p> <table border="1" style="margin-left: auto; margin-right: auto; margin-top: 20px;"> <tr> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">d</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">m</td> <td style="width: 20px; height: 20px;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y											
d	d	m	m	y	y	y	y											

C3. Statements - Other registrable person

If the corporation's or legal entity's UBO register contains one of the following statements please tick		If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.	
Statement:		Date:	
<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is no registrable ultimate beneficial owner in relation to the corporate or legal entity.		<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	
<input type="checkbox"/> The corporate or legal entity has not yet completed taking reasonable steps to find out if there is anyone who is a registrable ultimate beneficial owner in relation to the corporate or legal entity.		<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	
If the statements appear more than once in the corporation's or legal entity's UBO register, use a continuation page.			

Use this section to show any additional statements (in respect of an other registrable person who is an UBO) that are entered in UBO register under Part B.

If you have ticked A or B in relation to this other registrable person, then you do not need to give more information about this other registrable person on this form

	Only complete this section if the corporation's or legal entity's UBO Register contains any of the below statements in respect of a relevant legal entity. Tick each relevant statement.	If any of the statements have been updated please give the relevant date
A	<input type="checkbox"/> The corporate or legal entity knows or has reasonable cause to believe that there is a registrable person in relation to the corporate or legal entity, but it has not identified the registrable person.	This ceased to apply on: <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
If there is only one other registrable person in relation to this corporate or legal entity, only complete A		
B	<input type="checkbox"/> The corporate or legal entity has identified a registrable person in relation to the company but all the required particulars of that person have not been confirmed.	This ceased to apply on: <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
If there is only one other registrable person in relation to this corporate or legal entity, only complete B		
C	<input type="checkbox"/> The corporate or legal entity has given a notice under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 which has not been complied with.	<input type="checkbox"/> A notice given under regulation 12 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice.

		<p>The notice was complied with on:</p> <p>Please complete the relevant details of the relevant legal entity in section B10- B13 as appropriate</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y								
d	d	m	m	y	y	y	y											
<p>D</p>	<p><input type="checkbox"/>The other registrable person named below has failed to comply with a notice given by the corporate or legal entity under regulation 13 of the Ultimate Beneficial Owners Regulations 2017:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 150px; padding: 5px;">Name</td> <td style="width: 200px; height: 40px;"></td> </tr> </table>	Name		<p><input type="checkbox"/>A notice given under regulation 13 of the Ultimate Beneficial Owners Regulations 2017 has been complied with after the time specified on the notice.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">y</td> </tr> </table> <p>The notice was complied with on:</p> <p>Please complete the relevant details of the individual in section B10- B13</p>	d	d	m	m	y	y	y	y						
Name																		
d	d	m	m	y	y	y	y											
<p>E</p>	<p><input type="checkbox"/>The corporate or legal entity has issued a restrictions notice under paragraph 1 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017.</p>	<p><input type="checkbox"/>The corporate or legal entity has withdrawn the restrictions notice by giving a withdrawal notice on:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">y</td> </tr> </table> <p><input type="checkbox"/>The court has made an order under paragraph 8 of Schedule 1 to the Ultimate Beneficial Owners Regulations 2017 directing that a relevant interest in the company ceases to be subject to restrictions. The court order takes effect on:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">d</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">m</td> <td style="width: 25px; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y											
d	d	m	m	y	y	y	y											

D1. Signature & Declaration

Ensure you have obtained confirmation from the individual, relevant legal entity or other relevant person that their particulars are current before sending this form to the Registrar of Beneficial Owners.

I, the presenter, am signing this form on behalf of the corporate or legal entity named Part A and declare the particulars in Parts A, B, C and D are correct

Signature:

D2. Presenter Information

This information will be kept private.

Name	
Company Name	
Address	
Telephone	
e-mail	

E. Checklist

<input type="checkbox"/> Name & Registration Number
<input type="checkbox"/> Ensure Name & Registration Number match those at Companies House
<input type="checkbox"/> You have completed the date the individual, relevant legal entity or other registrable person became registrable
<input type="checkbox"/> You have completed the individual's, relevant legal entity's or other registrable person's details
<input type="checkbox"/> Addresses must be a physical location
<input type="checkbox"/> You have shown the nature of control of the UBO
<input type="checkbox"/> You have signed the form